

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Seester/11
Page 1 of 2



FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

COMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

Attn: Application Division

Inventor(s): WANG et al

Appln. No.: 09 | 934,083
Series Code ↑ | Serial No. ↑

Atty.Dkt. P 280651 | A0000364
M# | Client Ref

Filed: August 22, 2001

Title: METHOD AND SYSTEM FOR PREDICTING SPLICE VARIANT FROM DNA CHIP EXPRESSION DATA

Hon. Commissioner of Patents
Washington, DC 20231

Date: MONDAY, November 26, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) No of Sheets
 - ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/226680	22 AUG 2000	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Applicati n No.	Filing Dat	Application N .	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fe Code
13. Basic Filing Fee Design Application				\$330/\$165		106/26
Not Design Application				\$740/\$370	+740	101/201
14. Total Effective Claims	17	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. FILING FEE ENCLOSED =				\$870		
19. Original due date: Saturday, November 24, 2001						
20. Petition is hereby made to extend the original due date to				(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee				(2mos) \$400/\$200 =		116/216
is attached				(3mos) \$920/\$460 =		117/217
				(4mos) \$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+40	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$910		

Our Deposit Account No. 03-3975

Our Order No. 70441

280651

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty *for* Anthony L. Miele

Reg. No. 34393

Sig: *Thomas P. Head*

Fax: (703) 905-2500

T I: (703) 905-2146

1600 Tysons Boulevard
McLean, VA 22102
Tel: (703) 905-2000

Atty/Sec: ALM/sdm

NOTE: Fil in duplicate with PTO receipt (PAT-103A) and attachments

FOR UTILITY/DESIGN
CIP/CT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 26.101 (WTR) 1103
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FORM

As a below named inventor, I hereby declare that my residence, past office address and citizenship are as stated below next to my name, and I believe I am the original, first, and sole inventor (if any one name is listed below) or an original, first and joint inventor of plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED: METHOD AND SYSTEM FOR PREDICTING SPICE VARIANT FROM DNA CHIP EXPRESSION DATA

The specification of which (CHECK applicable BOX(ES))
☒ A. ☐ is attached hereto.
☒ B. ☐ was filed on August 22, 2001 as U.S. Application No. 1
☒ C. ☐ was filed as PCT International Application No. PCT/ on
 and if applicable to U.S. or PCT application(s) was amended on
 I hereby state that I have reviewed and understand the contents of the above referenced specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 3510(c) of any foreign application(s) for patent or inventor's certificate, or 3660(a) of any PCT International Application which designated at least one prior country then the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignor disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application.

PRIOR FOREIGN APPLICATION(S)
Number Country Day/MONTH/Year Filed

Date first laid
open or published

Date Patented
or Granted

Priority NOT Claimed

If more than one foreign application, list each on bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefits under 35 U.S.C. 119(a) or 120 and/or 366(c) of the indicated United States applications listed below and PCT International applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT International filing date of this application.

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)
Application No. (series code/serial no.) Day/MONTH/Year Filed
80/228080 22 AUG 2000

STATUS
pending, abandoned, patented

Priority NOT Claimed

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (760) 906-8900 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 806 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to solicit from that Customer No. names of persons no longer with their firm, to add new persons of their firm to that Customer No., and to act and rely on instructions from, and communicate directly with the person designated attorney-in-fact organization (whom I am first appointing) in this case to grant and by whom I hereby declare that I have conferred after full disclosure to be represented unless/until I instruct the above firm and/or an attorney of that firm in writing to the contrary.

USE ONLY FOR
PILLSBURY WINTHROP



00909

11/20/2001

(1) INVENTOR'S SIGNATURE: Wang

Date:

Name	Yan	WANG
Residence	Ann Arbor, MI 48105	Michigan, California, People's Republic of China
Mailing Address	2835 North 2nd St, Ann Arbor, Michigan	12511 EL Camino Real, Unit E, San Diego, CA
(include Zip Code)	48105 92130	

(2) INVENTOR'S SIGNATURE: HU

Date:

Name	Gang	HU
Residence	Ann Arbor	Michigan, People's Republic of China
Mailing Address	1978 Traver Road, Apartment 220, Ann Arbor, Michigan	
(include Zip Code)	48105	

☐ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (Incorporated herein by reference).

Atty. Dkt. No. P280651

(M#)